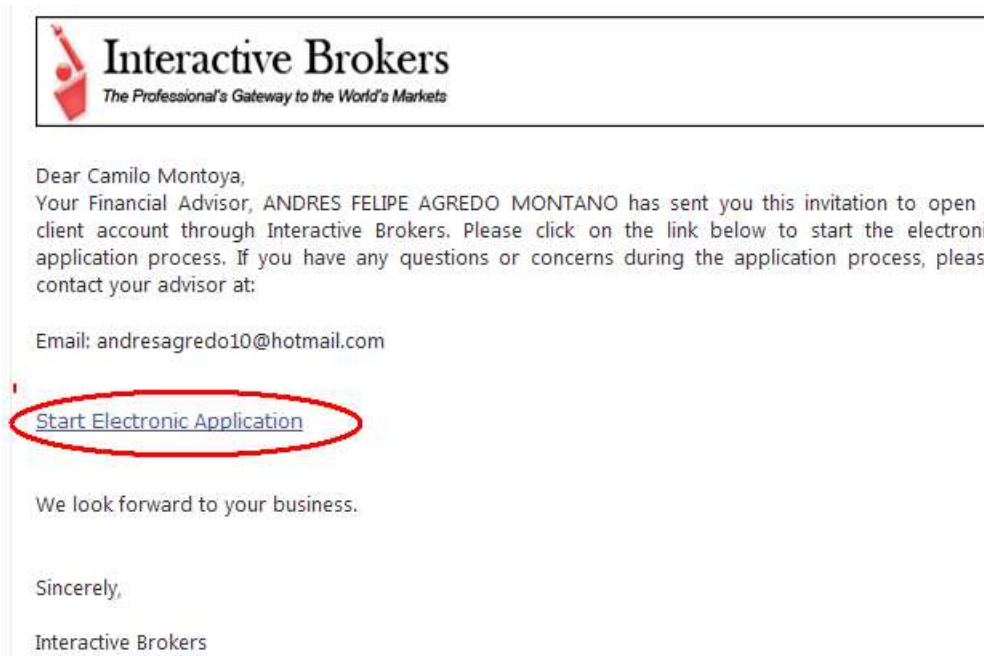


Guía para Completar Apertura de Cuenta Online:

- 1- Ingrese a través de la invitación enviada por correo electrónico: (si ha comenzado la aplicación directamente desde el link "Abrir una Cuenta" en nuestro sitio web, puede saltar al paso 3 y continuar allí)



- 2- Comience cliqueando **"Start Electronic Application"**:



- 3- Cree el nombre de usuario (Username Prefix) que desee para ingresar siempre a su cuenta, contraseña (Password), dirección de correo electrónico (Email Address) y por último país de residencia (Country of Legal Residence):

Importante:

- El Username debe contener **6 letras** en minúsculas y **3 números** diferentes.
- El Password debe contener entre **6 y 8 caracteres**, en los cuales, debe contener **al menos 1 letra y 1 número**.

Nota: si no pasa a la siguiente página, es porque hay algún error en la creación del Username y Password, por favor verifíquelo, teniendo en cuenta las indicaciones especificadas.

Account Application

- 1 Create Account (1 - 2 minutes)
- 2 Application Information (10 - 20 minutes)
- 3 Funding (1 - 5 minutes)
- 4 Account Approval (1 - 2 business days)

Account Configuration

- 5 Trading Configuration (5 - 20 minutes)
- 6 Agreement & Disclosures (5 - 20 minutes)
- 7 Trade

Interactive Brokers LLC Registration - Advisor Client

Registration

* = Required

Username Prefix* (5 letters, all lower case) ([explain](#))

Password* (6 to 8 characters, no spaces)
Must include one alphabetic and one numeric character. Username Prefix and Password are both case-sensitive.

Confirm Password*

Record your password. For security reasons you will not be shown your password again.

Email Address* (used for all future correspondence)

Confirm Email Address*

Country of Legal Residence/Formation* ([explain](#))

4- Un correo electrónico será enviado a su dirección electrónica con el nombre **“E-mail Verification”**, con un número de confirmación (**Confirmation Number**) el cual deberá ingresar en dicha casilla.

En Customer Type deberá seleccionar **“Individual”** si la cuenta será de 1 sólo titular ó **“Joint”** si tendrá 2 titulares , si es a nombre de una empresa **“Corporation”**:

Interactive Brokers LLC Registration - Individuals

Verification

A confirmation number has been sent to: andrestol005@hotmail.com
If this is not your correct email address, please [click here](#).

Your username: cmont074

IMPORTANT

- We have added three randomly-generated numbers to complete your username.
- You should store your username and password in a secure place.
- For your own security, never provide your password to anyone (including anyone at IB).

Enter Confirmation Number

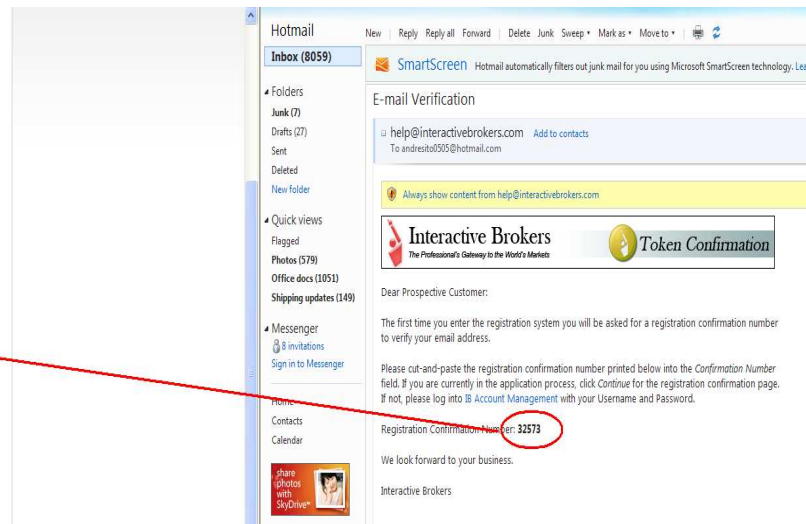
Confirmation Number* (sent to the email address shown above)

If you have not received a confirmation number within five minutes, check your junk email folder, or [click here](#) to receive another confirmation number.

Customer Type

Select a Customer Type below

Customer Type* ([explain](#))



5- Su cuenta ha sido creada. Adicional a su Username elegido por usted, Interactive Brokers le ha asignado un número de cuenta. **Por favor, imprima esta página ó guarde esta información:**

Account Application

- 1 Create Account
1 - 2 minutes
- 2 Application Information
10 - 20 minutes
- 3 Funding
1 - 5 minutes
- 4 Account Approval
1 - 2 business days

Account Configuration

- 5 Trading Configuration
5 - 20 minutes
- 6 Agreement & Disclosures
5 - 20 minutes
- 7 Trade

Delete Application

Interactive Brokers LLC Registration - INDIVIDUAL

Your account has been created.

Your username: **cmont074**
Your account number: **U965118 (IBLLC-US)**

 [Print this page for your records.](#)


Your account has been created.

If you cannot finish the rest of your application at this time, you can login anytime through *Login/Finish an Application* located on the menu bar of our home page ([show me](#)). You will need your username and password to complete your application. You will be notified once your application is complete and under review.

IMPORTANTE: SI POR ALGUNA RAZON NO PUEDE TERMINAR EN ESE MOMENTO LA APLICACIÓN, PODRA CONTINUAR DESDE EL MISMO PUNTO, INGRESANDO A TRAVES DEL SIGUIENTE ENLACE: <https://www.interactivebrokers.com/Universal/servlet/AccountAccess.Login?c=t> INGRESANDO CON SU USERNAME Y PASSWORD.

SI EN EL TRANCURSO DE LA APLICACIÓN NECESITA REGRESAR AL PASO ANTERIOR, EL SISTEMA LE PEDIRA QUE INGRESE NUEVAMENTE SU USERNAME Y PASSWORD.

CLIC EN CONTINUE Y LUEGO SELECCIONE "ACCEPT", CONTINUE:

Message Center  Help Me!

Account Application


- 1 Create Account (1 - 2 minutes)
- 2 Application Information (10 - 20 minutes)
- 3 Funding (1 - 5 minutes)
- 4 Account Approval (1 - 2 business days)

Account Configuration


- 5 Trading Configuration (5 - 20 minutes)
- 6 Agreement & Disclosures (5 - 20 minutes)
- 7 Trade

Cancel Application


[Application Navigation Instructions](#)



To return to the previous page, click the Back button that will appear on the top left of each page in the application.



Do not use your browsers back button as this will interfere with the application.



View your progress at any time by clicking the Application Progress button on the top right of each page in the application.

Application Progress ▾

- Name and Address
- Account Holder Information

Return to any page in your application by clicking the name of the page in Application Progress. If you do this, some application pages may be reset and you will have to re-enter information on those pages.

Continue →

6- Información personal:

De ahora en adelante, todos los campos señalados así * son obligatorios, los demás son opcionales, favor omitir las tildes, el sistema no las reconocerá. Al final le solicitarán que seleccione 3 preguntas de seguridad con su respectiva respuesta:

Account Application

1 **Create Account** **COMPLETED**
 2 **Application Information** 10 - 20 minutes
 3 **Funding** 1 - 5 minutes
 4 **Account Approval** 1 - 2 business days

Account Configuration

5 **Trading Configuration** 5 - 20 minutes
 6 **Agreement & Disclosures** 5 - 20 minutes
 7 **Trade**

Cancel Application

[Back](#) **Interactive Brokers (U.K.) Ltd Application - Advisor Client INDIVIDUAL U640887** [Application Progress](#) ▼

Application Information
 Name and Address

Type your name exactly as it appears on your bank statement. If the name you enter below does not match the name on your bank statement, we may have problems accepting incoming wire transfers and your bank may have problems accepting outgoing wire transfers from us.

Salutation* ▼

First Name*

Middle Initial (optional)

Last Name*

Suffix (optional) ▼

Residential Address

Regulatory restrictions prevent us from accepting a P.O Box or an "in care of" address in the residential address section of the application. Any associated PO Box or "in care of" address should be listed in the mailing address section of the account or your application will be delayed.

Street #1*

Street #2: (optional, e.g.: Apt, Suite, Floor, etc.)

City*

State/Province

Zip/Postal Code*

Country* ▼

	Country Code	Area Code & Phone Number
Primary Phone * type numbers only, no hyphens or parentheses	<input type="text" value="57"/>	<input type="text" value="3105698965"/>
Secondary Phone (optional) type numbers only, no hyphens or parentheses	<input type="text"/>	<input type="text"/>
Fax (optional) type numbers only, no hyphens or parentheses	<input type="text"/>	<input type="text"/>

Important Information

We will mail you a security device to protect your account from hacking and other fraudulent account attacks. This will be sent by regular or express mail to the residential address listed above (or your mailing address, if it is different from your residential address), within a few days of your account being funded and activated.

It is important to provide a valid residential address (or mailing address) or you may not be able to access your account until you receive the security device. If you are not currently at the residential address you have provided (or mailing address, if it is different from your residential address), [click here](#) to contact us for alternative delivery arrangements.

Mailing Address

Is your mailing address different from the address provided above? Yes No

Security Questions

Security Question 1 *	Elija cualquier pregunta	In what city or town was your first job?
Answer 1 *	Elija una respuesta	medellin
Security Question 2 *	Elija cualquier pregunta	In what city were you married?
Answer 2 *	Elija una respuesta	medellin
Security Question 3 *	Elija cualquier pregunta	What city were you born in?
Answer 3 *	Elija una respuesta	medellin

← Back

Continue →

7- Continue con la información personal (en el siguiente recuadro está la traducción de cada campo):

Message Center Help Me!

Account Application

- 1 Create Account **COMPLETED**
- 2 Application Information 10 - 20 minutes
- 3 Funding 1 - 5 minutes
- 4 Account Approval 1 - 2 business days

Account Configuration

- 5 Trading Configuration 5 - 20 minutes
- 6 Agreement & Disclosures 5 - 20 minutes
- 7 Trade

Delete Application

Back Interactive Brokers LLC Registration - INDIVIDUAL U965118 Application Progress

Applicant Information - Account Holder Information

Date of Birth *	Fecha de Nacimiento	05/05/1978 (mm/dd/yyyy)
Mother's Maiden Name *	Primer nombre de la Madre	Claudia
Marital Status *	Estado Civil	Married
Number of Dependents *	Número de personas a su cargo	2
Country of Citizenship *	Pais de ciudadanía	Colombia
Are you a U.S. Permanent Resident (Green Card Holder)? *		<input type="radio"/> Yes <input checked="" type="radio"/> No

Es usted Residente permanente de los EEUU?

Legal Identification Number

As a non-U.S. resident, you are required to provide a copy of the passport, national identity card, driver's license, or alien identification card that includes the Identification Number that you provide below and the photograph and date of birth of the person for whom it was provided.

Type of Identification *	Tipo de Identificación (para Colombia es la select)	National Identity Card
Country of Issuance *	Pais de expedición del Documento de Identidad	Colombia
Identification Number *	Número del Documento de Identidad	45.896.854

CONTINUE CON LA INFORMACION LABORAL (SI ES EMPLEADO DEBERA SELECCIONAR “EMPLOYED BY ANOTHER” Y RELLENAR LA INFORMACION DEL EMPLEADOR):

Employment Information

Employment Type*	Tipo de Empleo	Employed by Another
Employer*	Empleador	CONSTRUCCIONES S.A.
Nature of Business*	Naturaleza del Negocio	Construction
Occupation*	Cargo / Ocupación	Director Estrategias
Employer Street #1*	Dirección del Empleador	Calle 5 Nro. 4 A sur 15
Employer Street #2 (optional)		
Employer City*	Ciudad del Empleador	Medellin
Employer State/Province *	Departamento / Estado	Antioquia
Employer Zip/Postal Code*	Código ZIP (En Colombia no hay pero debe rellenarse el campo)	574
Employer Country*	País del Empleador	Colombia
Employer Telephone Number*	Número Telefónico del Empleador	57-4-2568978

Additional Sources of Income

Do you have any sources of income in addition to your regular employment?*

Yes

No

Usted tiene fuentes de ingresos adicionales a su empleo regular? (Si selecciona Yes, le aparecerá la siguiente página:)

Nota: Si usted seleccionó No en esta última pregunta, entonces obvie la siguiente página y pase directo al paso 8.

FUENTES DE INGRESO. SELECCIONE LA(S) FUENTE(S) DE SUS INGRESOS, A CADA CAMPO LE DEBERA ASIGNAR UN VALOR PORCENTUAL, DE TAL FORMA QUE ENTRE LOS SELECCIONADOS SUMEN 100, Y AL FRENTE UNA BREVE DESCRIPCION, POR EJEMPLO: (recuerde NO colocar tildes)

**Additional Sources of Income (Must add to a total of 100%).
Failure to provide accurate and detailed information below may result in delays in the processing of your account.**

Choose one or more additional sources of income from the list below.
Be sure to provide the percent of annual income and a description for each source. All percents must total 100.

Source of Income	Percent of annual income (use whole numbers)	Description
<input checked="" type="checkbox"/> Employment Income	50 %	Ingreso de empleo
<input checked="" type="checkbox"/> Consulting	10 %	Consultorias
<input type="checkbox"/> Disability	0 %	
<input type="checkbox"/> Inheritance	0 %	
<input type="checkbox"/> Interest	0 %	
<input type="checkbox"/> Other	0 %	
<input type="checkbox"/> Real Estate	0 %	
<input type="checkbox"/> Rental	0 %	
<input type="checkbox"/> Severance	0 %	
<input checked="" type="checkbox"/> Spouse	40 %	Ingreso del Conyugue
<input type="checkbox"/> Trading & Investments	0 %	
<input type="checkbox"/> Unemployment	0 %	

8- Ahora, sólo debe seleccionar los campos marcados con * los cuales son:

Part I – 3 “Type of beneficial owner”: selecciona el tipo de cuenta que seleccionó al comienzo de la apertura (Individual, Corporation, etc)

Part II – 9 – a “I certify that...”: Sólo seleccionar el recuadro.

Al final, en la parte inferior, deberá colocar su nombre, lo cual es equivalente a su firma escrita. El nombre deberá ser escrito tal y como aparece en rojo, por ejemplo:

* For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner.

For Paperwork Reduction Act Notice, see separate instructions. Cat. No. 25047Z **Form W-8BEN** (Rev. 2-2006)

Signature

I acknowledge that the foregoing information and all other information provided during the account application process is true and correct and agree to notify IBLLC-US by email of any material changes therein. I authorize IBLLC-US to confirm the accuracy of the information as it deems necessary.

User Name: *cmont074*
Account Title: *Camilo Montoya*
Dated: Sunday, 5 December 2010

Signature* Camilo Montoya

Typing your name is equivalent to your handwritten signature.

By typing my signature and sending it via the Internet, I acknowledge that I have read and understand all information provided during the application process; that I intend IBLLC-US to rely upon it; that I intend to be bound thereby; and that I understand and agree that my electronic signature is the equivalent of a manual written signature.

← Back Continue →

9- **Información Regulatoria.** En las siguientes preguntas, deberá seleccionar la casilla “No”, así:

Account Application

1 Create Account **COMPLETED** 2 Application Information 10 - 20 minutes 3 Funding 1 - 5 minutes 4 Account Approval 1 - 2 business days

Account Configuration

5 Trading Configuration 5 - 20 minutes 6 Agreement & Disclosures 5 - 20 minutes 7 Trade

Delete Application

Back

Interactive Brokers LLC Registration - INDIVIDUAL U965118

Application Progress ▾

Applicant Information - Regulatory Information

	Yes	No
Is the account holder or any immediate family member who resides in the same household, registered as a broker-dealer or an employee, director or owner of a securities or commodities brokerage firm? *	<input type="radio"/>	<input checked="" type="radio"/>
Is the account holder a member, employee, associated or affiliated person of a regulatory or a self-regulatory organization or exchange? *	<input type="radio"/>	<input checked="" type="radio"/>
Has the account holder ever been the subject of, or initiated litigation, arbitration or any other type of dispute or settlement procedure with another broker or dealer? *	<input type="radio"/>	<input checked="" type="radio"/>
Has the account holder ever been the subject of an investigation or proceeding by any commodities or securities exchange or regulatory authority or self-regulatory authority? *	<input type="radio"/>	<input checked="" type="radio"/>
Is the account holder a director, a 10% shareholder or a policy-making officer of any publicly traded company? *	<input type="radio"/>	<input checked="" type="radio"/>
Does the account holder control the trading or have a beneficial ownership interest in any other IB accounts? *	<input type="radio"/>	<input checked="" type="radio"/>

← Back

Continue →

10- Detalles de operativa.

En el **STEP 1 (paso 1) Account Type** (tipo de cuenta) debe seleccionar **Reg T Margin**

En el **STEP 2 (paso 2)** debe seleccionar una cantidad aproximada a su patrimonio. **Recuerde que la cantidad está expresada en Dólares Americanos (USD).** **Nota:** Es necesario colocar como mínimo las cantidades expresadas en el presente ejemplo, ya que si se colocan montos menores, Interactive Brokers restringirá los permisos de operativa en algunos Activos Financieros.

En el **STEP 3** deberá seleccionar: **Growth** (crecimiento de capital), **Trading Profits** (ganancias de operaciones) y **Speculation**.

En el **STEP 4** deberá seleccionar como en la siguiente página:

Interactive Brokers (U.K.) Ltd Application - Advisor Client INDIVIDUAL U640887

Application Information
[Trading Qualifications](#)

STEP 1 - Account Type* [\(explain\)](#)

Cash Reg T Margin Portfolio Margin

STEP 2 - Net Worth and Net Income

Please provide the financial information below, which will be used by our Compliance Department to determine whether you are eligible to trade the investment products you select now or in the future. IB never sells your information to any third parties, nor will we call you and suggest investments for you. The scope of our commitment to your privacy is detailed in our [Privacy Policy](#).

For joint accounts, enter combined amounts for both applicants.

Net Worth* (not including primary residence) [\(explain\)](#) **Patrimonio Neto** 100,001 - 250,000 USD

Liquid Net Worth* (cash, stocks, etc. EXCLUDING Retirement Accounts) [\(explain\)](#) **Patrim. Liquido Neto** 100,001 - 250,000 USD

Annual Net Income* **Ingreso Anual Neto** 50,001 - 100,000 USD

If you are unemployed or retired, do NOT include income from a past or future job in the Annual Net Income entered above.

Total Assets* **Activos Totales** 75,001 - 100,000 USD

STEP 3 - Investment Objectives* [\(explain\)](#)

Your choices below determine the investment products that you can be approved to trade. Check all that apply.

Preservation of Capital

Income

Growth

Trading Profits

Speculation

Hedging

ATENCIÓN: EN ESTE PASO, SE DEBERAN SELECCIONAR LAS CASILLAS DE: **STOCKS, OPTIONS, FUTURES, FOREX, FUTURES OPTIONS**, Y MARCAR LO MISMO TAL Y COMO ESTA SELECCIONADO EN LAS CASILLAS DEL SIGUIENTE EJEMPLO:

STEP 4 - Investment Experience ([for more information](#))

Select the investment products you want to trade, and your experience and trading knowledge for each product.

Select*	Product	Years Trading	Trades Per Year	Total Trades	Knowledge Level
<input checked="" type="checkbox"/>	Stocks	> 10	> 100	>1000	Extensive
<p>As the exercise or assignment of option contracts may result in delivery of the underlying stock, applicants requesting options trading permission must also be eligible to trade stocks.</p>					
<input type="checkbox"/>	Bonds	0	0	0	Choose One
<input checked="" type="checkbox"/>	Options	> 10	> 100	>1000	Extensive
<input checked="" type="checkbox"/>	Futures	> 10	> 100	>1000	Extensive
<p>Futures are automatically selected when you select Futures Options</p>					
<input checked="" type="checkbox"/>	Forex	> 10	> 100	>1000	Extensive
<input checked="" type="checkbox"/>	Futures Options				
<input type="checkbox"/>	Single Stock Futures				
<input type="checkbox"/>	CFDs				
				Total Lifetime Trades:	>4000

11- **Customer Agreement (Acuerdo del Cliente).** **Nuevamente en la parte inferior, firmar con su nombre:**

Application Information
[Customer Agreement](#)

This Interactive Brokers Customer Agreement contains the terms and conditions of your account relationship with Interactive Brokers. Please use the scrollbar to read the agreement, signify your acceptance by typing your name exactly as it appears, then click **Continue**.

Notice of Execution and Clearing Agreement

This Notice applies only to persons who are parties to the Customer Agreement Among Customer, Interactive Brokers (U.K.) Limited and Interactive Brokers LLC ("IB UK Customer Agreement") and/or persons who have opened an account ("IB UK Account") with Interactive Brokers (U.K.) Limited ("IB UK Customers").

Interactive Brokers (U.K.) Limited ("IB UK") has been authorised by the Financial Services Authority ("FSA") to conduct investment business in the U.K. and Europe and is regulated in the U.K. by the FSA.

Interactive Brokers LLC ("IB LLC") is a U.S.-located affiliate of IB UK. IB LLC is registered as a broker-dealer with the U.S. Securities and Exchange Commission and as a futures commission merchant with the U.S. Commodity Futures Trading Commission.

IB UK and IB LLC are parties to an Execution and Clearing Agreement pursuant to which IB LLC performs certain services with respect to IB UK Customers, IB UK Accounts and IB UK Transactions ("Execution and Clearing Agreement"). The Execution and Clearing Agreement permits IB LLC to satisfy its obligations thereunder by using the services of other affiliates of IB UK ("IB UK Affiliates") or unaffiliated third parties. By executing an IB UK

[Signature](#)

I acknowledge that the foregoing information and all other information provided during the account application process is true and correct and agree to notify Interactive Brokers by email of any material changes therein. I authorize Interactive Brokers to confirm the accuracy of the information as it deems necessary.

User Name: *camilo789*
Account Title: *Camilo Montoya*
Dated: Monday, 10 October 2011

Signature* *Camilo Montoya*

Camilo Montoya

Typing your name is equivalent to your handwritten signature.

By typing my signature and sending it via the Internet, I acknowledge that I have read and understand all information provided during the application process; that I intend Interactive Brokers to rely upon it; that I intend to be bound thereby; and that I understand and agree that my electronic signature is the equivalent of a manual written signature.

[← Back](#)

[Continue →](#)

12- **Ahora, en la parte interior, seleccionar en todas las casillas “Accept”, dentro del recuadro deberá bajar con el cursor hasta el final habiendo seleccionado todas las casillas. Finalmente, en la parte inferior, fuera del recuadro, nuevamente deberá escribir su nombre el cual es equivalente a su firma escrita: eement (Acuerdo del Cliente). Nuevamente en la parte inferior, firmar con su nombre:**

Interactive Brokers (U.K.) Ltd Application - Advisor Client INDIVIDUAL U640887

Agreement Limiting Interactive Brokers' Liability for decisions and actions of your independent financial advisor:

In consideration for maintaining a brokerage account at Interactive Brokers, Customer agrees:

I UNDERSTAND THAT MY FINANCIAL ADVISOR IS INDEPENDENT FROM INTERACTIVE BROKERS ("IB") AND IS NOT AN EMPLOYEE OR AN AGENT OF IB. I AGREE THAT INTERACTIVE BROKERS IS NOT LIABLE FOR THE TRADING DECISIONS OR ACTIONS OF MY ADVISOR AND I AGREE NOT TO BRING LAWSUITS OR CLAIMS OF ANY KIND AGAINST IB FOR ACTIONS OR TRADING DECISIONS OF MY ADVISOR.

Accept Decline

I UNDERSTAND THAT INTERACTIVE BROKERS' ONLY ROLE IS TO EXECUTE TRADES ORDERED BY MY ADVISOR AND HOLD MY POSITIONS IN AN IB ACCOUNT. I AGREE THAT SINCE IB DOES NOT RECOMMEND TRADES OR GIVE ADVICE, IB WILL NOT CONDUCT ANY "SUITABILITY" REVIEW TO MAKE SURE THAT MY ADVISOR'S TRADING DECISIONS ARE SUITABLE FOR MY CIRCUMSTANCES AND OBJECTIVES.

Accept Decline

I SELECTED MY ADVISOR PERSONALLY. I UNDERSTAND THAT INTERACTIVE BROKERS DOES NOT RESEARCH OR RECOMMEND PARTICULAR FINANCIAL ADVISORS. I UNDERSTAND THAT IB HAS NOT RECOMMENDED OR INVESTIGATED MY ADVISOR.

Signature

I acknowledge that the foregoing information and all other information provided during the account application process is true and correct and agree to notify Interactive Brokers by email of any material changes therein. I authorize Interactive Brokers to confirm the accuracy of the information as it deems necessary.

User Name: camilo789
Account Title: Camilo Montoya
Dated: Monday, 10 October 2011

Signature* Camilo Montoya

Camilo Montoya

Typing your name is equivalent to your handwritten signature.

By typing my signature and sending it via the Internet, I acknowledge that I have read and understand all information provided during the application process; that I intend Interactive Brokers to rely upon it; that I intend to be bound thereby; and that I understand and agree that my electronic signature is the equivalent of a manual written signature.

← Back Continue →

Ahora, en la parte inferior, seleccionar la casilla "Accept":

Solicitar una Cuenta

1 Crear Cuenta **COMPLETO**

2 Información Básica 10 - 20 minutos

3 Depositar Fondos 1 - 5 minutos

4 Aprobación de Cuenta 1 - 2 días hábiles

Configuración de Cuenta

5 Configuración de Negociación 5 - 20 minutos

6 Contratos y Avisos 5 - 20 minutos

7 Negociar

Cancel Application

Interactive Brokers (U.K.) Ltd Application - Advisor Client INDIVIDUAL U640887

Application Information
[Disclosure of Margin Trading](#)

Because you have specified margin trading permissions, you are required to read, and acknowledge your acceptance of the Disclosure of Margin Trading document. Please read the following document and acknowledge your acceptance at the bottom.

DISCLOSURE OF RISKS OF MARGIN TRADING

Interactive Brokers ("IB") is furnishing this document to you to provide some basic facts about purchasing securities and futures contracts on margin, and to alert you to the risks involved with trading in a margin account. "Margin trading" can mean engaging in a transaction in which securities are purchased partially through a margin loan extended to you by IB, for which the securities act as collateral. Margin trading can also mean trading investment products such as futures or options in which an initial "margin" deposit is made to secure your obligations and further margin may be required to secure your obligations as the value of your positions changes.

This document also describes special risks associated with trading on margin in an IRA account, as described below.

Before trading stocks, futures or other investment products in a margin account, you should carefully review the margin agreement provided by IB and you should consult IB regarding any questions or concerns you may have with your margin accounts.

When you purchase securities, you may pay for the securities in full or you may borrow part of the purchase price from IB. If you choose to borrow funds from IB, you will open a margin account with the firm. The securities purchased are IB's collateral for the loan to you. If the securities or futures contracts in your account decline in value, so does the value of the collateral supporting your loan, and, as a result, IB can take action, such as sell securities or other assets in any of your accounts held with IB or issue a margin call, in order to maintain the required equity in the account.

You should understand that pursuant to the IB Margin Agreement, IB generally will not issue margin calls, that IB will not credit your account to meet intraday

I, Camilo Montoya

Accept Decline

Back Continue

13- Revisión de la Aplicación. Revisar que la información sea correcta y luego clic en “Continue”:

Interactive Brokers (U.K.) Ltd Application - Advisor Client INDIVIDUAL U640887

Application Information
[Review](#)

Please review the key information related to your account application below. **If you would like to change any of this information, click the Application Progress button above, then click the appropriate link.** If all information is correct, click Continue at the bottom of this page.

Application Information

Customer Type	Advisor Client INDIVIDUAL
Username	camilo789
Account ID	U640887
Account Title	Camilo Montoya
Primary Address	Carrera 20 Nro. 42 B 56 Int. 1105, Medellin, 574, Colombia
Legal Residence	Spain

Financial Information

Investment Objectives	Growth Trading Speculation
Net Worth	100,000 - 250,000 USD
Liquid Net Worth	100,000 - 250,000 USD
Annual Net Income	50,000 - 100,000 USD
Total Assets:	75,001 USD

Investment Experience

	Years Trading	Trades Per Year	Knowledge Level
Stocks:	> 10	> 100	Extensive
Options:	> 10	> 100	Extensive
Futures:	> 10	> 100	Extensive
Forex:	> 10	> 100	Extensive

← Back Continue →

14- **Condiciones de operativa.** Deberá seleccionar la casilla **“Accept”**, así:

Interactive Brokers (U.K.) Ltd Application - Advisor Client INDIVIDUAL U640887

Application Information
[Legal Acknowledgements](#)

IB can maintain its low commission structure because we have built automated trade processes to minimize human intervention and discretion. In this respect, we have established some simple terms which govern trading in all IB accounts. These rules recognize that from time to time, due to their nature, electronic systems, which often rely on third party connectivity, may fail or be delayed and exchanges and data providers may make errors.

- I am obligated to accept all executions that are consistent with the instructions specified in my orders.
- Although we believe our failure rate is among the lowest in the industry, any system may fail at one time or another, often by reason of forces beyond human control. IB is not liable for system or network failures, and customers who require the highest level of reliability, agree to maintain secondary trading facilities.
- Customers are responsible for protecting the secrecy of their usernames and passwords, and they will be responsible for trades entered by third parties using their usernames and passwords.
- In the event trades are confirmed by IB as executed, and they are later cancelled by an exchange, trading network or regulatory authority, the IB confirmed trade will also be deemed cancelled.
- IB generally processes orders in the order in which it receives them, including all orders submitted by IB or its affiliates.
- IB is not responsible for ensuring the execution of orders at limit prices if the order's transmission is delayed or is otherwise affected by data communication failure.
- IB does not provide trading, investment or tax advice, and customers shall not rely on statements by IB employees which purport to provide such advice.
- IB generally does not make margin calls, and IB maintains the right to immediately close out positions, without notice or liability, in any account that does not have sufficient funds to meet the margin requirements imposed by it or regulatory authorities. At its sole discretion given the market or other factors, IB may choose to make a margin call and/or may not liquidate account positions. If you receive a margin call you are required to immediately deposit funds to cover the deficiency.
- IB customers are responsible to IB for the continued accuracy and updating of all information provided to IB.
- IB customers agree to keep IB informed of their current email address, so they will be in a position to read and receive emails addressed to them by IB.

Accept Decline

[← Back](#) [Continue →](#)

15- Funding. Información para la Transferencia de Fondos.

Para Transferencia Bancaria, deberá seleccionar **"Wire Transfer Deposit"** y luego Clic en **"Select"**, así:

Interactive Brokers (U.K.) Ltd - Advisor Client INDIVIDUAL U640887

Funding

Your application will not be processed until you complete these deposit instructions. All new accounts must deposit the required minimum in equity (cash or stock) before they can trade.

Your deposit notification is non-binding. If you are not sure of the method of deposit, enter a Wire Transfer deposit of an amount equal to the account minimum, then enter "Bank" in the "Bank Name" field. This is just a notification and IB will not debit your account.

Select a Deposit Notification or Inbound Transfer Request for Account ID: U640887

Deposit Notifications allow us to efficiently identify your incoming funds for proper credit to your account. A Deposit Notification does not move your funds. You must contact your bank or broker to complete the transfer.

▶ **Wire Transfer Deposit**

▶ Bank ACH Transfer From Your Bank

▶ Check

▶ Online Bill Payment

▶ Free of Payment (FOP)

Inbound Transfer Requests are instructions you provide to us to contact your bank or broker to move your funds or assets. An Inbound Transfer Request physically moves your funds or assets to your IB account.

▶ ACH Transfer Initiated at IB

▶ Automated Customer Account Transfer (ACATS in the US, ATON in Canada)

▶ US Futures Asset Transfer

▶ European Asset Transfer

▶ Asian Asset Transfer

▶ Internal Account Transfer

equity (cash or stock) before they can trade.

Your deposit notification is non-binding. If you are not sure of the method of deposit, enter a Wire Transfer deposit of an amount equal to the account minimum, then enter "Bank" in the "Bank Name" field. This is just a notification and IB will not debit your account.

Select a Deposit Notification or Inbound Transfer Request for Account ID: U640887

Deposit Notifications allow us to efficiently identify your incoming funds for proper credit to your account. A Deposit Notification does not move your funds. You must contact your bank or broker to complete the transfer.

Wire Transfer Deposit

Description

A wire transfer is initiated by you from your bank to Interactive Brokers.

To fund your account with a wire transfer, fill in and submit the Wire Transfer form to create an electronic notification, which IB will match to your wire transfer when it arrives.

You must contact your bank to initiate the wire transfer.

Time to Arrive

From immediate to four days, depending on your bank. Non-US banks generally take the longest to arrive.

Limitations

You may not withdraw your funds for three business days.



DETALLES DEL ENVIO DE LOS FONDOS. CANTIDAD A GIRAR, MONEDA, BANCO (EL NUMERO DE LA CUENTA DEL BANCO ES OPCIONAL):

Account Application

1 Create **COMPLETED**

2 Application **COMPLETED**
1 - 5 minutes

3 Funding
1 - 5 minutes

4 Account Approval
1 - 2 business days

Account Activation

5 Account Configuration
5 - 20 minutes

6 Agreement & Disclosures
5 - 20 minutes

7 Trade

Interactive Brokers (U.K.) Ltd - Advisor Client INDIVIDUAL U640887

Wire Transfer Deposit

Step 1:
How Much Will You Deposit?
Complete the following information and then click Confirm. Click Cancel if you change your mind and want to choose a different funding method.

Amount: * (Required Minimum) **Cantidad** .00

Currency: * **Moneda**

Bank Name: * **Banco Girador**

Bank Account Number:
(Optional; helps IB process your transaction as quickly as possible) **Número de Cuenta del Banco**

Cancel
Continue

IMPORTANTE: SU NOTIFICACION DE DEPOSITO HA SIDO GENERADA, POR FAVOR IMPRIMA (PRINT) O GUARDE LA SIGUIENTE INFORMACION PARA ENTREGARLE DICHAS INSTRUCCIONES A SU BANCO:



Interactive Brokers (U.K.) Ltd - Advisor Client INDIVIDUAL U640887

Wire Transfer Deposit

Step 2: Instruct Your Bank to Initiate the Wire Transfer

Your notification to Interactive Brokers to deposit **USD 20,000.00** via Wire Transfer from Bancolombia has been confirmed. Instruct your bank to initiate the actual wire transfer to send funds to:

Address

Citibank, N.A. (New York Branch)
111 Wall St, 19th floor, New York, NY, 10043, United States

SWIFT BIC Code: CITI US 33

ABA Number: 021000089

Bank Account Name: Interactive Brokers UK Ltd.

Interactive Brokers UK Ltd. Bank account number at Citibank: 30806988

Wire Beneficiary Address: Interactive Brokers (U.K.) Ltd, One Carey Lane, Fifth floor, London, England, EC2V 8AE, United Kingdom

For further benefit to: U640887 / Camilo Montoya

IMPORTANT

- This deposit notification will expire if funds are not received **within ten days**.
- The receipt of funds typically ranges from the same day to four days depending on your bank and will be credited to your account immediately upon arrival if there are sufficient customer identifiers.
- Funds may not be withdrawn for three business days following receipt.
- Deposits improperly routed to a bank account that has not been designated to accommodate deposits in your selected currency may be rejected or automatically converted to the local currency based on the policies of the bank. As Interactive Brokers is unable to assume the risk of such errors, be sure to provide your bank with the correct routing instructions displayed above.

Print

Continue

16- CONFIGURACION DE LA CUENTA: POR FAVOR, HAGA CLIC EN "Configure Your Account", como se muestra en el siguiente recuadro:

Application Status

Submitted for Approval

You have completed the Account Application portion of your application and it has been submitted for approval. Follow the instructions below to continue your application.

1. Send Documents Documents required for U640887

We have received the electronic portion of your application. We must receive any documents listed below to continue to process your application.

IB cannot accept handwritten documents as proof of identity or proof of address. Use this [downloadable cover sheet](#) when sending documents via fax or mail.

PLEASE DO NOT SEND ORIGINAL DOCUMENTS

Documents	Status	Details
Proof of identity and date of birth for Mr. Camilo Montoya (Account Holder)	<input type="button" value="Upload"/> Not yet received	<input type="button" value="Show/Print"/>
Proof of address for Mr. Camilo Montoya (Account Holder)	<input type="button" value="Upload"/> Not yet received	<input type="button" value="Show/Print"/>

If you do not have a printer, and were unable to print documents which require a paper signature, click the *Mail Forms* button below to have IB print and mail the documents to you for signature. If you prefer to have the forms emailed to you, click the *Email Forms* button below to have IB email the documents to you.





[Click here](#) for document sending instructions.

2. Configure Your Account Required

While we review your application, configure your trading permissions and market data subscriptions by clicking the button below.



Review Your Deposits Optional

Click **Funds Status** to view the status of your deposit or transfer or to

Modify Your Application Optional

Click Add/Modify Information to add or modify and information that you

CLIC EN CONTINUAR:

Solicitar una Cuenta

1 Crear Cuenta **COMPLETO** 2 Información Básica **COMPLETO** 3 Depositar Fondos **COMPLETO** 4 Aprobación de Cuenta **COMPLETO**

Configuración de Cuenta

5 Configuración de Negociación 5 - 20 minutos **COMPLETO** 6 Contratos y Avisos 5 - 20 minutos 7 Negociar

Cancel Application

Interactive Brokers (U.K.) Ltd Application - Advisor Client INDIVIDUAL U640887

Account Configuration
[Review](#)

Your account has been automatically configured to trade stocks in your selected country. You can add more products and countries later on the Trading Configuration page in account Management. If you would like to change any of the information listed below, click the Application Progress button above, then click the appropriate link. If all information is correct, click Continue at the bottom of this page.

Customer Type and Base Currency

Customer Type	INDIVIDUAL
Base Currency	USD

Margin and Products

Account Type	Margin
Products & Countries	
Stocks	

[← Back](#) [Continue →](#)

CLIC EN CONTINUAR:

Solicitar una Cuenta

1 Crear Cuenta **COMPLETO** 2 Información Básica **COMPLETO** 3 Depositar Fondos **COMPLETO** 4 Aprobación de Cuenta **COMPLETO**

Configuración de Cuenta

5 Configuración de Negociación **COMPLETO** 6 Contratos y Avisos 5 - 20 minutos 7 Negociar

Cancel Application

Interactive Brokers (U.K.) Ltd Application - Advisor Client INDIVIDUAL U640887

Agreements & Disclosures
[General Agreements & Disclosures](#)

Interactive Brokers is required to provide you with certain disclosures mandated by our regulators. Please click Continue below to signify that you have received these disclosures and to continue with your account setup.

- [Risks of After-Hours Trading](#)
- [Interactive Brokers Group Privacy Statement](#)
- [Interactive Brokers Order Routing and Payment for Order Flow Disclosure](#)
- [Day Trading Risk Disclosure Statement](#)
- [Interactive Brokers LLC Business Continuity Plan Disclosure](#)
- [Risk Disclosure Statement For Forex Trading and Multi-Currency Accounts](#)

[← Back](#) [Continue →](#)

17- Poder de Gestión. Este la autorización para la Gestión de la Cuenta. En la parte inferior nuevamente deberá escribir su nombre, el cual será equivalente a su firma. El nombre deberá ser escrito tal y como aparece en rojo, por ejemplo:

Agreements & Disclosures - Advisor Client Agreement

**Discretionary Trading Authorization/Power of Attorney for Financial Advisor
Request To Send Trade Confirmations and Account Statements to Advisor**

This form may be used to designate an Advisor to manage your Interactive Brokers account. The designated Advisor will be able to execute trades, deposit and withdraw money to and from your account (all withdrawals must be in your name), request information, receive account statements and confirmations, change certain of your customer information, and generally manage your Interactive Brokers account as specified below. In order to use this form, your Advisor must be an approved participant in Interactive Brokers' Advisor Program. For more information on the Advisor Program, go to www.interactivebrokers.com.

Client Information:

Account: U640887

Name of Account Holder: Camilo Montoya

Financial Advisor Information:

Name of Advisor: ANDRES FELIPE AGREDO MONTANO

Name of Advisor Firm (if any): N/A

Fees, in which case Customer will be liable to pay such Fees to Advisor directly.

5. All claims, questions or disputes regarding IB's provision of brokerage services or regarding IB's execution of particular trades must be referred to IB. Advisor has no authority to resolve such claims or disputes on IB's behalf.
6. ALL CLAIMS OR DISPUTES REGARDING ADVISOR'S SERVICES OR ACTIONS (INCLUDING SPECIFIC CONDUCT, ADVICE, RECOMMENDATIONS, OR TRADES PLACED BY ADVISOR OR FEES OWED) ARE SOLELY BETWEEN CUSTOMER AND ADVISOR. IB IS NOT LIABLE FOR ANY SUCH CLAIMS AND DISPUTES AND CUSTOMER UNDERSTANDS AND FULLY ACKNOWLEDGES THAT CUSTOMER CANNOT BRING A CLAIM AGAINST IB OR RECEIVE DAMAGES OR COMPENSATION OF ANY KIND FROM IB, INCLUDING CLAIMS FOR DIRECT, INDIRECT, COMPENSATORY, INCIDENTAL, SPECIAL, EXEMPLARY, PUNITIVE OR CONSEQUENTIAL DAMAGES. ALL CLAIMS OR DISPUTES REGARDING THE FEES OWED TO ADVISOR ARE SOLELY BETWEEN CUSTOMER AND ADVISOR. IF CUSTOMER DISPUTES ADVISOR'S RECEIPT OF ANY FEES THAT IB HAS DEDUCTED FROM THE ACCOUNT AND SENT TO ADVISOR, CUSTOMER UNDERSTANDS THAT CUSTOMER'S SOLE REMEDY IS AGAINST ADVISOR AND CUSTOMER AGREES NOT TO BRING ANY CLAIM AGAINST IB.
7. Arbitration: The agreements between Customer and Interactive Brokers (which are also binding on Advisor) contain arbitration clauses requiring all parties to arbitrate any disputes. Such arbitration clauses are incorporated herein by reference. Customer and Advisor acknowledge that all disputes regarding this Authorization Agreement, Customer's account(s) or IB's provision of services to Customer or Advisor are subject to arbitration.

Signature

I acknowledge that the foregoing information and all other information provided during the account application process is true and correct and agree to notify Interactive Brokers by email of any material changes therein. I authorize Interactive Brokers to confirm the accuracy of the information as it deems necessary.

User Name: camilo789

Account Title: Camilo Montoya

Dated: Monday, 10 October 2011

Signature* Camilo Montoya

Camilo Montoya

Typing your name is equivalent to your handwritten signature.

AHORA, NUEVAMENTE COLOCAR SU NOMBRE Y SELECCIONAR LA CASILLA "I ACCEPT", COMO SE INDICA A CONTINUACION:

Interactive Brokers (U.K.) Ltd Application - Advisor Client INDIVIDUAL U640887

MiFID Retail Client Notification & Consent Letter

INTERACTIVE BROKERS UK MiFID RETAIL CLIENT NOTIFICATION & CONSENT LETTER

On 1 November 2007 the European Union legislative act known as the Markets in Financial Instruments Directive, or MiFID, comes into effect. This Directive, which is intended to enhance the competitiveness of EU capital markets and promote investor protection, introduces new requirements which specify how investment firms are to conduct business with their clients. Included in these is notification and consent requirements involving a new system of client classification as well as IB UK's order execution policy.

The client classification requirement, intended to afford regulatory protections which reflect the particular level of knowledge, experience and expertise of the investor, specifies three categories: Retail, Professional or Eligible Counterparty. Based upon the provisions of MiFID we have determined your client classification to be Retail, thereby entitling you to the highest level of regulatory protection. You have the right to request that your classification be changed to either Professional or Eligible Counterparty by sending an Inquiry/Trouble Ticket from Account Management, although any change to your classification will lessen the protections available under your current Retail classification. In addition, IB UK reserves the right to reject a request to provide services under any classification other than the Retail classification to which you have been assigned.

As an IB UK client you are already afforded the highest level of execution service and we intend to continue operating under that standard as specified under MiFID's best execution requirement. Clients are requested to read and consent to our order execution policy, a copy of which may be found by clicking [here](#).

Signature

I acknowledge that the foregoing information and all other information provided during the account application process is true and correct and agree to notify Interactive Brokers by email of any material changes therein. I authorize Interactive Brokers to confirm the accuracy of the information as it deems necessary.

User Name: camilo789
Account Title: Camilo Montoya
Dated: Monday, 10 October 2011

Signature* Camilo Montoya

Typing your name is equivalent to your handwritten signature.

By typing my signature and sending it via the Internet, I acknowledge that I have read and understand all information provided during the application process; that I intend Interactive Brokers to rely upon it; that I intend to be bound thereby; and that I understand and agree that my electronic signature is the equivalent of a manual written signature.

I, Camilo Montoya

Accept Decline

18- Revisión de los costos por operación. En la parte inferior, clic en “Continue”:

Solicitar una Cuenta

1 Crear Cuenta **COMPLETO**

2 Información Básica **COMPLETO**

3 Depositar Fondos **COMPLETO**

4 Aprobación de Cuenta **COMPLETO**

Configuración de Cuenta

5 Configuración de Asociación **COMPLETO**

6 Contratos de Asesor **COMPLETO**

7 Negociar

Cancel Application

Interactive Brokers (U.K.) Ltd Application - Advisor Client INDIVIDUAL U640887

Application Information
[Sub Account Fees Review](#)

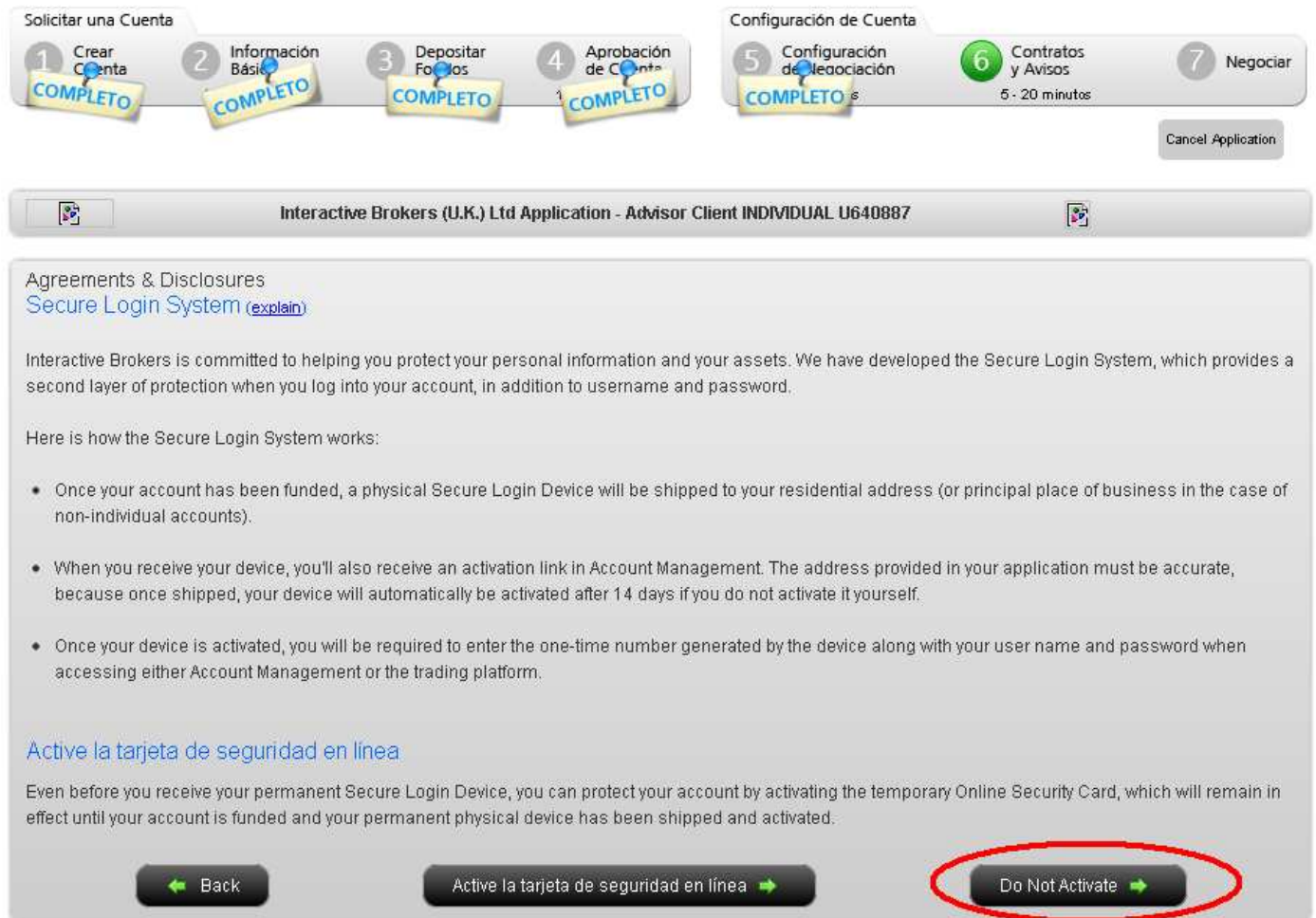
! Please review the advisor fee methodology set by your advisor below and click *Continue* if you agree.

- Interactive Brokers calculates Advisor Fees and deducts these fees from your client account automatically and sends them to your advisor as follows:
 - 2% Annualized Percentage of Net Liquidation Value applied on a daily basis (enter annual percentage)
- Your advisor calculates the Advisor Wrap Fees and removes the fees from your account up to a limit of 1000 per month.

← Back

Continue →

19- Security Login System. Aquí le preguntan si desea activar una tarjeta online de seguridad. No es necesario activarla pues en unas dos semanas aproximadamente le estarán enviando por correo físico, la tarjeta de seguridad para el ingreso a su cuenta:



Solicitar una Cuenta

- 1 Crear Cuenta **COMPLETO**
- 2 Información Básica **COMPLETO**
- 3 Depositar Fondos **COMPLETO**
- 4 Aprobación de Cuenta **COMPLETO**

Configuración de Cuenta

- 5 Configuración de Negociación **COMPLETO**
- 6 Contratos y Avisos 5 - 20 minutos
- 7 Negociar

Cancel Application

Interactive Brokers (U.K.) Ltd Application - Advisor Client INDIVIDUAL U640887

Agreements & Disclosures
[Secure Login System \(explain\)](#)

Interactive Brokers is committed to helping you protect your personal information and your assets. We have developed the Secure Login System, which provides a second layer of protection when you log into your account, in addition to username and password.

Here is how the Secure Login System works:

- Once your account has been funded, a physical Secure Login Device will be shipped to your residential address (or principal place of business in the case of non-individual accounts).
- When you receive your device, you'll also receive an activation link in Account Management. The address provided in your application must be accurate, because once shipped, your device will automatically be activated after 14 days if you do not activate it yourself.
- Once your device is activated, you will be required to enter the one-time number generated by the device along with your user name and password when accessing either Account Management or the trading platform.

[Active la tarjeta de seguridad en línea](#)

Even before you receive your permanent Secure Login Device, you can protect your account by activating the temporary Online Security Card, which will remain in effect until your account is funded and your permanent physical device has been shipped and activated.

← Back Active la tarjeta de seguridad en línea → **Do Not Activate →**

20- Envío de documentación:

Para finalizar, usted deberá enviar vía email (escaneado) la siguiente información:

- **Prueba de identidad** (Cédula, Pasaporte o Carne de Conducir)
- **Prueba de dirección reciente** (factura de luz, agua, gas, telf. fijo o certificado de empadronamiento, alquiler, declaración notariada, etc.) Para residentes en Colombia, no se podrá enviar como prueba de dirección una factura de teléfono móvil (celular).

a) Se pueden enviar directamente (identificado con su código de cuenta U.....) a la siguiente dirección electrónica: newaccounts@interactivebrokers.com

b) ó se pueden subir tales documentos mediante la opción **“Upload”** como muestra a continuación:

Application Status

Application Review Pending

You have completed the Account Configuration portion of your application.

1. Send Documents Documents required for U640887

We have received the electronic portion of your application. We must receive any documents listed below to continue to process your application.

IB cannot accept handwritten documents as proof of identity or proof of address. Use this [downloadable cover sheet](#) when sending documents via fax or mail.

PLEASE DO NOT SEND ORIGINAL DOCUMENTS

Documents	Status	Details
Proof of identity and date of birth for Mr. Camilo Montoya (Account Holder)	<input type="button" value="Upload"/> Not yet received	<input type="button" value="Show/Print"/>
Proof of address for Mr. Camilo Montoya (Account Holder)	<input type="button" value="Upload"/> Not yet received	<input type="button" value="Show/Print"/>

If you do not have a printer, and were unable to print documents which require a paper signature, click the *Mail Forms* button below to have IB print and mail the documents to you for signature. If you prefer to have the forms emailed to you, click the *Email Forms* button below to have IB email the documents to you.



[Click here](#) for document sending instructions.

We recommend a copy of this application for your records by clicking the **Print Application** button below. You do not need to send this document to IB.

→

Review Your Deposits Optional

Click **Funds Status** to view the status of your deposit or transfer or to change your initial method of deposit or transfer.

Modify Your Application Optional

Click Add/Modify Information to add or modify and information that you have already entered.

IMPORTANTE: SU APLICACIÓN HA SIDO FINALIZADA EXITOSAMENTE. USTED PODRA OBTENER UNA COPIA EN PDF O IMPRESA DE SU APLICACIÓN, SELECCIONANDO LA OPCION “**PRINT APPLICATION**”, COMO SE MUESTRA A CONTINUACION:

PLEASE DO NOT SEND ORIGINAL DOCUMENTS

Documents	Status	Details
Proof of identity and date of birth for Mr. Camilo Montoya (Account Holder)	Upload Not yet received	Show/Print
Proof of address for Mr. Camilo Montoya (Account Holder)	Upload Not yet received	Show/Print

If you do not have a printer, and were unable to print documents which require a paper signature, click the *Mail Forms* button below to have IB print and mail the documents to you for signature. If you prefer to have the forms emailed to you, click the *Email Forms* button below to have IB email the documents to you.

[Click here](#) for document sending instructions.

We recommend a copy of this application for your records by clicking the **Print Application** button below. You do not need to send this document to IB.

Review Your Deposits Optional

Click **Funds Status** to view the status of your deposit or transfer or to change your initial method of deposit or transfer.

Click **Deposits** to add another deposit or transfer, or to confirm that you have received test credit amounts in your bank account for an Electronic Automated Clearing House (ACH) transfer.

Modify Your Application Optional

Click Add/Modify Information to add or modify and information that you have already entered.

WARNING If you add or modify any information that you have already entered, be aware that while all of your previous entries and selections are saved, some legal acknowledgements will require you to re-enter your choices.

UNA VEZ SE HAYAN RECIBIDO LOS DOCUMENTOS SOLICITADOS Y LOS FONDOS HAYAN SIDO TRANSFERIDOS, SU CUENTA ESTARA LISTA PARA COMENZAR A OPERAR.